Appendix 1

**INE Application Form for Market Maker Qualification**

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| Product | Futures (You can select up to three and indicate your order of preference by the numbers 1, 2, and 3):  🞏 Crude oil 🞏 TSR 20 🞏 LSFO 🞏 Bonded copper  Are you willing to be assigned a different product for market-making than what is indicated above?  🞏 Yes 🞏 No  Options:  🞏 Crude oil | | |
| Name of applicant |  | | |
| Name of the applicant’s legal representative |  | Organization Code |  |
| Name of the person in charge of the market-making business |  | Contact number (mobile) of the person in charge of the market-making business |  |
| Designated Futures Firm Member |  | Name and contact number (mobile) of the contact person of the Futures Firm Member |  |
| Market-making technology system | Developed independently □ Developed by a third party□  (If the software is developed by a third party, please provide the name and version of the software, as well as the full name of the software provider)  Name of the software:  Full name of the software developer: | | |
| Whether the applicant has any experience in providing quotes within the Chinese Mainland | Yes□ No□ (If yes, please give information on the provision of quotes)  The product for which the applicant provides quotes and its listing exchange:  \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_  Whether the applicant has participated in any simulated market-making? Yes□ No□ | | |
| We hereby undertake that we meet the industry regulatory requirements, will be responsible for the authenticity, accuracy, and completeness of the information provided, and voluntarily assume all consequences arising from any inaccuracies of these materials.  Signature and seal of the applicant’s legal representative: Common seal:  MM/DD/YYYY | | | |
| We have agreed the applicant to conduct market-making business via our company. We are fully aware of the potential risks for market-making and have adopted relevant measures to control the risks. We will apply for or logoff market-making trading code for market-making clients as required and proactively cooperate with the Shanghai International Energy Exchange in supervising and managing them.  Signature and seal of legal representative of the designated futures firm: Common seal:  MM/DD/YYYY | | | |