**Attachment**

**Notes on Copper Cathode Load-in Application of the Shanghai International Energy Exchange**

The load-in application for copper cathode should specify such information as the product, grade (designation), trademark, quantity, name of the owner, proposed load-in date, and the intended Designated Delivery Warehouse.

Upon the application of the creation of bonded standard warrants during load-in, the following documents should be submitted to INE for verification: the certificate of quality, certificate of origin, the inspection certificate(original copy) issued by a Designated Inspection Agency, customs import and export documents (such as Goods Declaration(Exports) and Goods Registration List (Inwards)), among others. Required documents for the load-in application for copper cathodes under different customs status are tentatively prescribed as follows:

(1) For copper cathodes entering the customs special supervision area from non-customs management domestic area: the certificate of quality, certificate of origin, Goods Declaration(Exports), and the inspection certificate (original copy) issued by a Designated Inspection Agency should be provided; these documents are deemed valid only upon being verified by INE.

(2) For copper cathodes entering the customs special supervision area from overseas: the certificate of quality, certificate of origin, Goods Registration List (Inwards), and the inspection certificate (original copy) issued by a Designated Inspection Agency should be provided; these documents are deemed valid only upon being verified by INE.

(3) For copper cathodes transferred from one designated bonded warehouse to another in the same customs special supervision area: the certificate of quality, certificate of origin, customs import and export documents (Goods Declaration(Exports) or Goods Registration List (Inwards), depending on whether the goods are exported or imported), Bonded Goods Reconciliation List goods transfer certificate, and the inspection certificate (original copy) issued by a Designated Inspection Agency should be provided; these documents are deemed valid only upon being verified by INE.

 (4) For copper cathodes under other customs status (such as customs transit), bonded standard warrants are not allowed to be created or circulated.

(5) For copper cathodes of a brand registered with INE or registered with SHFE and approved by INE, the inspection certificate(original copy) issued by a Designated Inspection Agency is not required if, upon application of the creation of bonded standard warrants during load-in, the certificate of quality issued by the producer can be provided and INE verifies that the filed brand name and product label are consistent with those shown on the *List of Deliverable Brands of Copper Cathode Futures of the Shanghai International Energy Exchange* and the *Detailed Information Table of Deliverable Brands of Copper Cathode Futures of the Shanghai International Energy Exchange*. The inspection certificate (original copy)is nevertheless required if the certificate of quality cannot be provided. For other documents required, please refer to items (1) to (4) herein.

Where there has been any adjustment to national policies on such matters as customs, tax, and commodity inspection, the revised policies should prevail. In such circumstances, INE will separately announce the new requirements for documents, if any.